A Survey on Sustainable Software Ecosystems to Support Experimental and Observational Science at Oak Ridge National Laboratory^{*}

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Abstract. In the search for a sustainable approach for software ecosystems that supports experimental and observational science (EOS) across Oak Ridge National Laboratory (ORNL), we conducted a survey to understand the current and future landscape of EOS software and data. This paper describes the survey design we used to identify significant areas of interest, gaps, and potential opportunities, followed by a discussion on the obtained responses. The survey formulates questions about project demographics, technical approach, and skills required for the present and the next five years. The study was conducted among 38 ORNL participants between June and July of 2021 and followed the required guidelines for human subjects training. We plan to use the collected information to help guide a vision for sustainable, community-based, and reusable scientific software ecosystems that need to adapt effectively to: i) the evolving landscape of heterogeneous hardware in the next generation of instruments and computing (e.g. edge, distributed, accelerators), and ii) data management requirements for data-driven science using artificial intelligence.

Keywords: Scientific Software Ecosystem · Experimental and Observational Science EOS · Sustainability· Survey.

1 Introduction

Computational science and engineering (CSE) is well-established as a compute, data, and software-intensive approach to scientific research with decades of history behind it. Traditionally, the software and data aspects of CSE have been

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marginalized, as incentive systems have emphasized novel scientific results over considerations related to the software and data that underpin them or indeed the skills and expertise of the people who develop the software. However, in recent years, there has been a trend to improve this through an increased understanding of the importance of the software and data to achieve high quality, trustworthy, and reasonably reproducible scientific results.

Experiment and observation have a far longer history in the conduct of scientific research than computationally-based approaches. As computing has become more capable and accessible, experimental and observational science (EOS) has also progressively expanded its use of software and computing for instrument control, data collection, reduction, analysis; data management; and other activities. It would not be a stretch to say that modern EOS relies on software as much as computational science, but with differences in complexity and scale. Therefore, as new approaches in instrumentation, and enhanced computational capabilities make feasible novel and more complex experiments, the reliance on software and computing in many areas of EOS is growing rapidly [18, 3, 2, 13]. In addition, EOS researchers are increasingly seeking to harness high-performance computing (HPC) that had historically been the province of computational scientists to deal with rapidly increasing data volumes. Modeling and simulation techniques originated in the CSE community are widely used to help understand and interpret EOS data [18,9]. As a result, the EOS community is transforming towards an increasing software- and compute-intensity level.

This paper represents the results of a small survey targeting EOS-focused staff within one organization that is focused heavily on EOS: Oak Ridge National Laboratory (ORNL). ORNL is the largest multi-program laboratory under the U.S. Department of Energy (DOE) Office of Science. The survey attempts to identify challenges facing EOS software stakeholders and how they anticipate the situation evolving over a five-year time frame. The structure of the paper is as follows: Section 2 presents background information on the need for software ecosystems in related fields, while a brief description of the current landscape of software ecosystems in scientific computing is also presented in anticipation of the survey responses. Next, an overview of the survey structure, methodology and questions is described in Section 3. Next, results from the survey and a discussion is presented in Section 4. This section is perhaps the most important in the report as we attempt to craft a narrative and interpretation by correlating the overall answers. Lastly, our conclusions from the survey results and analysis are presented in Section 5 outlining the most important takeaways from the collected data.

2 Background

Software ecosystems are an increasingly important component of scientific endeavors, particularly as computational resources have become more available to include simulations and data analysis in research [17]. Nevertheless, there is still debate of what constitutes a "software ecosystem". Dhungana *et al.* [7]

points out the similarities between software and natural ecosystems. Monteith *et al.* [19] indicates that scientific software ecosystems incorporate a large environment that includes not only software developers, but also scientists who both use and extend the software for their research endeavors. Therefore, it is important to acknowledge the different needs and goals of these groups when considering the broad breadth of scientific software. Hannay *et al.* [10] asserts that there is a great deal of variation in the level of understanding of software engineering concepts when scientists develop and use software. Kehrer and Penzenstadler [15] explore individual, social, economic, technical and environmental dimensions to provide a framework for sustainability in research software. As described by Dongarra *et al.* [8], the high-performance computing (HPC) community identified in the last decade the need for an integrated ecosystem in the exascale era. Efforts resulted in the DOE Exascale Computing Project (ECP) [1]. Within the scope of ECP, the Extreme-scale Scientific Software Stack (E4S) [12] aims to reduce barriers associated with software quality and accessibility in HPC.

Few studies have been carried out to assess EOS software ecosystems, so it is necessary to draw from experiences in other communities. A critical part of a software ecosystem are the developers themselves, since they play a crucial role that requires establishing sustainable collaborative relationships within the community. Sadi et al. [20] draws from test cases on mobile platforms to provide an in-depth analysis of developers' objectives and decision criteria for the design of sustainable collaborations in software ecosystems. The guidelines to pursue requirements for a software ecosystem is discussed by Kaiya [14] to allow for a decrease in effort, increase of gain, sustainability and increase in participation of developers in an engaging conversation. Empirical assessments of how modern software and data practices are used in science are provided in recent studies [11, 16, 22]. Software ecosystems can be vast and have been continuously evolving through the development of new processes, inventions, and governance [5]. Therefore it is important that scientific communities develop a tailored plan that relies on software reuse and development of an interdependent [6] and component-centric [21] software ecosystem that understands the goals and needs within the context of EOS.

3 Survey Overview

3.1 Survey Motivation

As a preeminent scientific research organization, ORNL uses and creates a great deal of the software used to undertake CSE. Software is central to modern EOS for data acquisition, reduction, analysis, distribution, and related modeling and simulation used for CSE. However, instruments and sensors are growing more capable and sophisticated and experiments more complex. At the same time, the computing landscape is also changing, with a transition towards "edge" systems that are situated closer to the experiments, and the increasing use of cloud and HPC resources. These factors drive complexity in software and consequently create greater challenges for developers. In order to better understand this changing

landscape, we decided to conduct a *Software Ecosystem Survey*. The goal was to collect a range of information about immediate and future development needs, the environmental factors influencing these needs, and the skills and training necessary for developer teams to be able to effectively work in these changing environments. We hope to use the information collected from the survey to improve participation and collaboration in software ecosystems and aid in creating sustainable software as we enter an exciting new frontier of scientific discovery.

3.2 Survey Design

The survey is organized into 10 major sections for a total of 34 questions. Responses are completely anonymous and meet the requirements for conducting ethical human-subject research studies. The survey design was focused on multiple-choice questions and answers, allowing the taker to register quickly and efficiently. The survey is exhaustive and might take up to 45 minutes to complete.

The survey begins with the description and motivation so that participants understand what the survey is trying to achieve as well as what information is expected from them. The survey then asks a series of questions to provide background information and project demographics to understand the nature of the software project and its contributors. A series of questions relating to the technical approach used by the project are asked in order to understand current software and data needs and challenges. Next, there are questions to understand the current skill levels and how new skills are acquired among software project participants. Finally, questions about the future demographics, future technical approach, and preparations for the future are formulated to understand the leading technological disruptions and the significant challenges projects will be facing in the next five years. In addition, we formulated questions on confidence to address current and future challenges at a personal and team level. The survey was developed using Google FormsTM and the responses were recorded over the course of a few weeks.

3.3 Selection of Participants

We invited individuals at ORNL working across diverse scientific domains developing research software to participate in this survey. This included developers from nuclear energy, biostatistics, transportation, building technology, geospatial analytics, among several others. Almost all of the invited participants had experience developing scientific software and were part of the broader software development community. The participants' anonymity was maintained by excluding any personal or work related information.

4 Survey Results

We received a total of 38 responses to the survey (raw results available at [4]). None of the questions were required, so the number of responses to specific

Research Software		Time percentage			
Activity	0-20%	21 - 40%	41-60%	61-80%	81-100%
Using	34.2%	23.7%	18.4%	13.2%	10.5%
Developing	21.2%	13.2%	23.7%	13.2%	28.9%

Table 1. Responses to time percentage spent using or developing software.

questions may in some cases, be fewer, which we will indicate as necessary. For questions about the project demographics and technical approach, we asked both about the current situation and the situation expected five years from now.

4.1 Background Information

This survey section consists of three questions to identify the respondents' relationship with scientific software activities and roles. The first question attempts to classify the respondents' work in relation to software. Overall, 71% of respondents indicated that research software is a primary product of their work, while 29% indicated it is not. The other two questions asked respondents to characterize the portion of their work time spent *using* or *developing* research software. Table 1 shows that 67% of the respondents spend at least 41% of their time as developers. We take this as a good indication that the survey respondents are in our target audience.

4.2 **Project Demographics**

In the remainder of the survey, we asked respondents to focus on the single research software development project that they considered most significant in their work, as the Project Demographics section of the survey was intended to characterize aspects of their particular project. Overall, 82% of respondents reported that they were users of the software as well as developers, whereas 18% were exclusively developers. This is consistent with our informal observations of computational science and engineering, where the majority of developers are also users. Looking five years out, respondents had essentially the same expectations (81% and 19%).

Table 2 illustrates responses to questions about the size of the development team, in terms of the overall number of active developers on the project and the number of those developers the respondent interacts with on a regular (weekly) basis. The results show that roughly two-thirds (58%) of projects are comprised of no more than 3 developers, and even on larger projects, the majority (68%) regularly interact with no more than 3 team members. However, there are also a considerable minority of software project teams (18%) with more than 10 developers.

We also tried to characterize the organizational breadth of the project teams. Table 3 shows that the majority of projects are of multi-institutional nature. Of the project teams comprised exclusively of ORNL staff members, the largest

		People				
Developers that are:	0	1	2-3	4-5	6-10	> 10
Active including the respondent	n/a	10.5%	47.4%	18.4%	5.3%	18.4%
Interacting weekly with the respondent	7.9%	21.1%	39.5%	18.4%	10.5%	2.6%

Table 2. Number of developers in a project and how many of these interact weekly with the respondent.

Table 3. Organizational breadth of research software projects. Organizational units within ORNL are listed from smallest (group) to largest (directorate). The "ORNL" response denotes teams spanning multiple directorates.

Breadth	Typical	Current	Expected
all developers within	Size	Percentage	in Five Years
Group	8-10 staff	13%	8%
Section	3-4 groups	8%	0%
Division	3-4 sections	0%	3%
Directorate	2-4 divisions	8%	3%
Whole lab	8 science directorates	16%	3%
Multiple institutions		55%	84%

number included staff from multiple directorates (the largest organizational level at ORNL), but the next largest number included staff from a single group (the smallest organizational level). Our informal observation is that in CSE at ORNL, the majority of projects are also multi-institutional. This is an indicator that while some of the software serves ORNL specific scientific purposes, a large portion exposes the team to outside organizations that could help leverage common software development activities via collaboration. It is interesting to observe that in five years, there are expectations for a strong shift towards more broadly based project groups, particularly multi-institutional teams.

The DOE Office of Science defines a user facility as "a federally sponsored research facility available for external use to advance scientific or technical knowledge."¹ The DOE stewards a significant number of the user facilities in the United States, nine of which are hosted at ORNL² and were targeted in our distribution of the survey. We asked respondents whether their research software was intended for use at a user facility (whether at ORNL, within the DOE system, or elsewhere). Only 8% of respondents indicated that their software did *not* target a user facility. The remainder indicated that the software was used in their own work at a user facility (13%), or by multiple users (47%). A quarter of respondents (26%) indicated that their software was part of the software suite that the facility offers to its users.

¹ https://science.osti.gov/User-Facilities/Policies-and-Processes/Definition

² https://www.ornl.gov/content/user-facilities

	Current	Expected
Characteristic	Percentage	in Five Years
Functionality	97%	97%
Usability	87%	89%
Maintainability or Sustainability	87%	89%
Performance	89%	92%
Portability	53%	34%
Security	21%	34%

Table 4. Characteristics considered to be of moderate or higher importance to the software projects.

Table 5. Analysis of free-form responses asking for additional important characteristics not included in the original six. The authors consider most of the proposed characteristics could be included in one of the original six, while two could not.

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Response	Occurrences	Original Characteristic
Unique capabilities	1	Functionality
Documentation	2	Usability
Intuitive	1	Usability
Robustness	1	Usability
Extensibility	1	Maintainability or Sustainability
Accuracy	1	n/a
Correctness	1	n/a

Finally, we asked the respondents to rate the importance of various software characteristics as summarized in Table 4. The importance of most of these characteristics is expected to be about the same looking out five years, except that portability drops and security increases in importance. Given an opportunity to suggest additional "moderate importance or higher" characteristics, we received 7 responses (some multiple listing characteristics). We consider that some of the responses could be consolidated into the original list of characteristics, while others would be new additions. The free-form responses are characterized in Table 5.

4.3 Project Technical Approach

This section included six questions related to the technical aspects of the project and its environment.

First, we asked respondents to indicate the various technical categories applied to their software project. Multiple categories could be selected, and a freeresponse option was allowed so respondents could add categories not included in the original list. Table 6 summarizes the results. Not surprisingly, for a survey focused on EOS, data processing and analysis is by far the most common category used to describe the software. The prominence of data reduction and interaction, each considered applicable to 50% of projects. Interestingly, tools and

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Table 6. Categories applicable to the focus software project. The last two were provided as free-form additions to the list.

	Current	Expected
Category	Percentage	in Five Years
Data acquisition	31%	47%
Data reduction	50%	55%
Data processing and analysis	74%	79%
Data interaction (e.g., Jupyter notebooks, graphical	50%	55%
or web interfaces, etc.)		
Data dissemination or sharing	21%	29%
Modeling and simulation	46%	60%
Numerical libraries	21%	45%
Tools and infrastructure	50%	55%
Deep learning, machine learning, text analysis	3%	3%
Optimization	3%	3%

infrastructure, modeling, and simulation were also similarly prominent (50% and 47%, respectively). "Modeling and simulation" is a term often used to characterize applications in CSE, and may indicate fairly routine use of these techniques in the analysis of experimental and observational data. The number of projects categorized as tools and infrastructure was unexpectedly large. We plan to explore both of these categories in greater depth in follow-up studies. It is interesting to note that looking out five years, nearly every category increases, suggesting an expectation that the projects will broaden in terms of the capabilities and thus more categories will apply in the future. The largest growth areas are expected to be in numerical libraries and data acquisition.

We also asked several questions intended to elicit the importance of various technologies or approaches to the software projects. The first question asked for an assessment of the importance of eight explicitly named technologies (on a 4-point scale), while the second questions was request for a free-form response.

Table 7 shows the number and percentage of the 38 survey respondents who indicated that each technology was of moderate or higher importance (responses of 3 or 4). This question was intended to gauge the extent of technologies we thought might be "emergent" in this community. We were not surprised to see that continuous integration/deployment was essential to most of the projects (76%), followed by numerical libraries (66%) as many EOS efforts require fairly sophisticated numerical approaches. Data storage and interaction technologies were also important (55% and 63%, respectively). Cloud-based deployment is only relevant to roughly one-third of the respondents (32%) and only a small minority (5%) rated cloud application programming interface (API) services as important, despite growing trends in cloud computing technologies in the last decades. This can be interpreted due to either lack of expertise in available cloud technologies, or that the cost of migrating operations might not justify the added value to the funded science deliverables. This is something we plan to explore further in follow-up studies. Looking ahead five years, all of the listed

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	Current	Expected
Technology	Percentage	in Five Years
Data storage	55%	66%
Data interaction (e.g., Jupyter notebooks, graphical	63%	68%
or web interfaces, etc.)		
Data dissemination or sharing	39%	58%
Continuous integration/continuous deployment	76%	79%
Server-based deployment and operation	45%	53%
Cloud deployment and operation (using cloud re-	32%	42%
sources to make the software available to users)		
Numerical libraries (using library-based APIs as	66%	82%
part of your software solution, for example BLAS,		
solvers, etc.)		
Use of cloud API services (using cloud-based APIs	5%	37%
as part of your software solution, for example, GCP		
Life Sciences API, Vision API, Trefle API, GBIF		
API, etc.)		

 Table 7. Technologies considered to be of moderate or higher importance to the software projects.

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technologies are expected to increase in their importance to software projects. The largest growth is expected in the importance of cloud API services, with numerical libraries, data dissemination or sharing, and cloud deployment and operation technologies also significantly increased in importance.

The free-form version of the question was included with the expectation that a wide range of technologies would be considered useful to different projects. A total of 33 of the 38 respondents answered this question, listing a total of 69 distinct items, many appearing in multiple responses. For the sake of brevity, we have assigned the responses to categories, which are listed in Table 8. We note that there is no unique and unambiguous way to categorize the tools and technologies named in the responses, but in this paper, our goal is to provide an overview; the specific responses are available in the survey dataset [4]. We note that application frameworks, libraries, and software development tools play significant roles in the software projects surveyed. Python is also prominent, as are data tools, and artificial intelligence (AI) tools.

We also asked respondents to indicate the programming languages used in their projects. Overall, 37 of the 38 survey respondents answered this free-form question, naming a total of 58 distinct languages, 22 unique. Python and C++ were the most prominent languages, cited by 78% and 54% of the responding projects, respectively. C and Javascript followed, listed in 16% and 14% of responses, respectively; Java and bash were the only other languages listed more than once (8% and 5%). Programming for GPUs was noticeable as well, but diverse approaches were named (CUDA, HIP, Kokkos, OpenACC, OpenMP).

Finally, in this section of the survey, we asked about the computational environments targeted by the project. Respondents could select from five pre-defined

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Table 8. Important technologies for the respondents' software projects, as categorized by the authors. There were a total of 69 unique technologies identified by the respondents.

Technology Category	Responses	Percentage
AI tools	6	9%
Application Frameworks	9	13%
Build and test tools	5	7%
Computational Notebook Tools	2	3%
Data tools	8	12%
Deployment tools	1	1%
Hardware	2	3%
Libraries	15	22%
Python modules	7	10%
Software Development tools	14	20%

responses as well as being able to provide a free-form response (four were received). The results are summarized in Table 9. Here, we see that individual computers dominate, but in many cases, accelerators (*e.g.*, GPUs, or FPGAs) are used. However usage of larger shared resources is also high. About a third of project target cloud computing, which is consistent with the response to an earlier question in which a third of respondents also rated cloud deployment and operation technologies as important to their projects (Table 7). The use of GPU accelerators is consistent with the use of GPU programming languages as well. Looking out five years, we see that respondents are generally expecting decreased use of single computers in favor of most other types of hardware, most significantly large-scale cloud and HPC environments.

4.4 Skills and Training

This section gauges their assessment of their own knowledge and that of their project team as a whole in various, as well as the areas in which new knowledge would be important. We requested responses on a 4-point scale ranging from "not knowledgeable" (1) to "very knowledgeable" (4), or "not important" (1) to "very important" (4). Table 10 summarizes the responses of 3-4 for personal knowledge, team knowledge, and the importance of acquiring new knowledge. Respondents are fairly confident in both their own and their team's knowledge of most areas. The weakest area was computer hardware, which also scored the lowest in terms of the importance of improving knowledge. Respondents were somewhat more comfortable with their (and their teams') knowledge of the science, algorithms, and software tools for their work than with software development practices. However software development practices and algorithms rated slightly higher than the science and software tools in terms of areas needing more knowledge.

	Current	Expected
Hardware Environment Target	Percentage	in Five Years
Single computer (laptop, desktop, or server)	68%	60%
Single computer with computational accelerator	40%	50%
(e.g., GPU, FPGA, etc.)		
Shared organizational resource (e.g., multipro-	63%	66%
cessor server or cluster, "edge" systems, etc.)		
Lab-level, national, or commercial cloud re-	34%	53%
sources (e.g., CADES cloud, AWS, etc.)		
Lab-level, or national HPC resources (e.g.,	47%	63%
CADES condos, OLCF, etc.)		
Neuromorphic processors	3%	3%
Quantum processors	0%	3%
Dedicated computational infrastructure for on-	3%	0%
line analysis of experimental data (cpu + gpu		
+fpga)		
Resources/infrastructure frozen for the duration	0%	3%
of the experiment		

Table 9. Hardware environments targeted by the projects. Respondents could select any choices that applied, the last two entries were provided as free-form responses.

4.5 Preparing for the Future

The final section of the survey asked respondents about their concerns about their projects in the next five years, and their overall confidence level in being able to deal with the changes they anticipate.

As we see in Table 11, the most significant area of concern has to do with the performance of the software, followed by changes in the hardware environment and the requirements for the maintainability or sustainability of the software. Concerns about changes in the hardware environment here are noteworthy, given the fact that in Table 10 respondents were both least confident in their personal and their team's knowledge of the hardware, *and* ranked it the least important

 Table 10. Self-assessed level of knowledge in various areas for the respondent and their team, and the perceived importance of gaining new knowledge in the area.

	Personal	Team	New
Area	Knowledge	Knowledge	Knowledge
The scientific context of the software	84%	95%	69%
The algorithms and methods required to implement	84%	84%	74%
the software			
The software frameworks, libraries, and tools to sup-	79%	82%	71%
port the implementation of the software			
Software development best practices	68%	79%	74%
The computer hardware to which the software is tar-	58%	66%	55%
geted (including cloud computing, if appropriate)			

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Table 11. Levels of concern about various possible changes in their projects over the next five years. Responses were on a 4-point scale from "not concerned" (1) to "very concerned" (4). We summarize the responses of moderate or high concern (3-4).

Aspect	Responses	Percentage
Changes in the hardware environment	23	61%
Changes in the scientific context	12	32%
Changes in the required functionality of the software	12 93	61%
Changes in the required vashility of the software	20	550%
Changes in the required usability of the software	21	0070
Changes in the required maintainability or sustainability of the	23	61%
software		
Changes in the required performance of the software	25	66%
Changes in or increased importance of data storage technologies	18	47%
Changes in or increased importance of numerical libraries	16	42%
Changes in or increased importance of data interaction technolo-	19	50%
gies (e.g., Jupyter notebooks, graphical or web interfaces, etc.)		
Changes in or increased importance of continuous integra-	17	44%
tion/continuous deployment technologies		
Changes in or increased importance of cloud for making the soft-	16	42%
ware available to users		
Changes in or increased importance of the use of cloud API ser-	13	34%
vice technologies		

area in which to gain more knowledge. The areas of least concern were the scientific context of the project (which was also an area of high confidence in Table 10) and in cloud service APIs. The low concern about cloud service APIs may be explained by their low importance in Table 7.

When asked how confident they were as individuals in their ability to deal with the changes anticipated over the next five years, a significant portion (63%) responded that they were moderately confident (3 on a 4-point scale), and one-fifth of the respondents (19%) were highly confident. When asked about their *team's* ability to deal with the coming changes, the majority (79%) are confident, with 42% being moderately confident and 37% highly confident.

5 Conclusions

Our survey attempts to provide empirical evidence to understand the landscape of the software supporting EOS activities at a major research laboratory. Results suggest that the field is still focused on classical computing approaches. More recent developments in computing that are the norm outside of science, like AI, edge computing, and cloud-based services, are still not being used extensively in existing projects. On the other hand, the field is clearly moving towards a broad collaborative approach. Projects tend to be multi-institutional and benefit a wider array of users. At the same time, most projects are comprised of a handful of developers, and most project interactions tend to be between a few developers. This highlights the niche nature of highly-specialized scientific software. We have also seen that data dissemination and sharing are becoming a focus across a range of scientific endeavors. In addition, projects feel confident that they will tackle challenges in the next five-year time frame. We understand these results as a reflection of the alignment to sponsors' expectations, for which its impact on ORNL EOS deliverables must justify investments in aspects of scientific software shown in this survey. In the future, we would like to use the insights gained from this survey to better support EOS developers and develop guidelines for a sustainable EOS software and data ecosystem.

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